

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Order Instituting Rulemaking to Continue Implementation and
Administration of California Renewables Portfolio Standard
Program.

Rulemaking 11-05-005
(Filed May 5, 2011)

3 Phases Renewables, LLC

2013 Preliminary Annual 33% RPS Compliance Report

Reporting progress towards meeting the procurement quantity requirements for California's RPS
Program in the first compliance period (2011-2013)

PUBLIC VERSION

August 1, 2014

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RPS Compliance Report: 2011 Annual Summary

| 2011 Annual Summary |
|---------------------|
| 1-Aug-14 |

| | 2011 | |
|--|--------|-------------------------|
| RPS Summary Report | MWh | % of Total Retail Sales |
| Total Retail Sales | 57,432 | n/a |
| Annual RPS Target | 11,486 | 20.0% |
| Total RPS Eligible RECs Procured | 6,071 | 10.6% |
| Total RPS Eligible RECs Retired for Compliance | 6,071 | 10.6% |

RPS Procurement Resource Mix

| | 2011 | |
|---------------------------------------|--------------|----------------------------|
| RPS Procurement | MWh | % of Total RPS Procurement |
| Biomass | 0 | 0.0% |
| Digester Gas | 0 | 0.0% |
| Biodiesel | 0 | 0.0% |
| Landfill Gas | 71 | 1.2% |
| Muni Solid Waste | 0 | 0.0% |
| <i>Biopower Subtotal</i> | <i>71</i> | <i>1.2%</i> |
| Geothermal | 0 | 0.0% |
| Small Hydro | 0 | 0.0% |
| Conduit Hydro | 0 | 0.0% |
| Solar PV | 0 | 0.0% |
| Solar Thermal | 0 | 0.0% |
| Wind | 6,000 | 98.8% |
| Ocean/Tidal | 0 | 0.0% |
| Fuel Cells | 0 | 0.0% |
| Total RPS Eligible Procurement | 6,071 | |

Claimed Portfolio Content Category of RPS Procurement

| | 2011 | |
|---|-------|----------------------------|
| Portfolio Content Category | MWh | % of Total RPS Procurement |
| RECs Procured from PCC 0 Eligible Resources | 0 | 0.0% |
| RECs Procured from PCC 1 Eligible Resources | 71 | 1.2% |
| RECs Procured from PCC 2 Eligible Resources | 0 | 0.0% |
| RECs Procured from PCC 3 Eligible Resources | 6,000 | 98.8% |
| | 6,071 | |

RPS Compliance Report: 2012 Annual Summary

| 2012 Annual Summary |
|---------------------|
| 1-Aug-14 |

| | 2012 | |
|--|---------|-------------------------|
| RPS Summary Report | MWh | % of Total Retail Sales |
| Total Retail Sales | 148,820 | n/a |
| Annual RPS Target | 29,764 | 20.0% |
| Total RPS Eligible RECs Procured | 20,825 | 14.0% |
| Total RPS Eligible RECs Retired for Compliance | 17,273 | 11.6% |

RPS Procurement Resource Mix

| | 2012 | |
|---------------------------------------|---------------|----------------------------|
| RPS Procurement | MWh | % of Total RPS Procurement |
| Biomass | 0 | 0.0% |
| Digester Gas | 0 | 0.0% |
| Biodiesel | 0 | 0.0% |
| Landfill Gas | 1,544 | 7.4% |
| Muni Solid Waste | 0 | 0.0% |
| <i>Biopower Subtotal</i> | 1,544 | 7.4% |
| Geothermal | 5,803 | 27.9% |
| Small Hydro | 0 | 0.0% |
| Conduit Hydro | 0 | 0.0% |
| Solar PV | 0 | 0.0% |
| Solar Thermal | 0 | 0.0% |
| Wind | 13,478 | 64.7% |
| Ocean/Tidal | 0 | 0.0% |
| Fuel Cells | 0 | 0.0% |
| Total RPS Eligible Procurement | 20,825 | |

Claimed Portfolio Content Category of RPS Procurement

| | 2012 | |
|---|--------|----------------------------|
| Portfolio Content Category | MWh | % of Total RPS Procurement |
| RECs Procured from PCC 0 Eligible Resources | 0 | 0.0% |
| RECs Procured from PCC 1 Eligible Resources | 7,347 | 35.3% |
| RECs Procured from PCC 2 Eligible Resources | 1,490 | 7.2% |
| RECs Procured from PCC 3 Eligible Resources | 11,988 | 57.6% |
| | 20,825 | |

RPS Compliance Report: 2013 Annual Summary

| 2013 Annual Summary |
|---------------------|
| 1-Aug-14 |

| | 2013 | |
|--|--------|-------------------------|
| RPS Summary Report | MWh | % of Total Retail Sales |
| Total Retail Sales | | n/a |
| Annual RPS Target | | 20.0% |
| Total RPS Eligible RECs Procured | 45,274 | |
| Total RPS Eligible RECs Retired for Compliance | 34,500 | |

RPS Procurement Resource Mix

| | 2013 | |
|---------------------------------------|---------------|----------------------------|
| RPS Procurement | MWh | % of Total RPS Procurement |
| Biomass | 0 | 0.0% |
| Digester Gas | 0 | 0.0% |
| Biodiesel | 0 | 0.0% |
| Landfill Gas | 1,174 | 2.6% |
| Muni Solid Waste | 0 | 0.0% |
| <i>Biopower Subtotal</i> | 1,174 | 2.6% |
| Geothermal | 16,687 | 36.9% |
| Small Hydro | 3,592 | 7.9% |
| Conduit Hydro | 0 | 0.0% |
| Solar PV | 0 | 0.0% |
| Solar Thermal | 0 | 0.0% |
| Wind | 23,821 | 52.6% |
| Ocean/Tidal | 0 | 0.0% |
| Fuel Cells | 0 | 0.0% |
| Total RPS Eligible Procurement | 45,274 | |

Claimed Portfolio Content Category of RPS Procurement

| | 2013 | |
|---|--------|----------------------------|
| Portfolio Content Category | MWh | % of Total RPS Procurement |
| RECs Procured from PCC 0 Eligible Resources | 0 | 0.0% |
| RECs Procured from PCC 1 Eligible Resources | 24,564 | 54.3% |
| RECs Procured from PCC 2 Eligible Resources | 12,901 | 28.5% |
| RECs Procured from PCC 3 Eligible Resources | 7,809 | 17.2% |
| | 45,274 | |

RPS Compliance Report: 2011-2013 Compliance Period Summary

| 2011-13 Compliance Period |
|---------------------------|
| 1-Aug-14 |

| RPS Summary Report | 2011 MWh | 2012 MWh | 2013 MWh | CP TOTAL MWh | % of Total Retail Sales |
|--|-------------|-------------|-------------|-----------------|-------------------------|
| Total Retail Sales | 57,432 | 148,820 | | | n/a |
| Annual RPS Targets and Compliance Period Procurement Requirement | 11,486 | 29,764 | | | 20.0% |
| Total RPS Eligible RECs Procured | 6,071 | 20,825 | 45,274 | 72,170 | |
| Total RPS Eligible RECs Retired | 6,071 | 17,273 | 34,500 | 57,844 | |

This amount represents total procurement quantity requirement

This amount represents quantity of RECs retired for this compliance period

RPS Procurement Resource Mix

| RPS Procurement | 2011 MWh | 2012 MWh | 2013 MWh | CP TOTAL MWh | % of Total RPS Procurement |
|---------------------------------------|--------------|---------------|---------------|-----------------|----------------------------|
| Biomass | 0 | 0 | 0 | 0 | 0.0% |
| Digester Gas | 0 | 0 | 0 | 0 | 0.0% |
| Biodiesel | 0 | 0 | 0 | 0 | 0.0% |
| Landfill Gas | 71 | 1,544 | 1,174 | 2,789 | 3.9% |
| Muni Solid Waste | 0 | 0 | 0 | 0 | 0.0% |
| <i>Biopower Subtotal</i> | <i>71</i> | <i>1,544</i> | <i>1,174</i> | <i>2,789</i> | <i>3.9%</i> |
| Geothermal | 0 | 5,803 | 16,687 | 22,490 | 31.2% |
| Small Hydro | 0 | 0 | 3,592 | 3,592 | 5.0% |
| Conduit Hydro | 0 | 0 | 0 | 0 | 0.0% |
| Solar PV | 0 | 0 | 0 | 0 | 0.0% |
| Solar Thermal | 0 | 0 | 0 | 0 | 0.0% |
| Wind | 6,000 | 13,478 | 23,821 | 43,299 | 60.0% |
| Ocean/Tidal | 0 | 0 | 0 | 0 | 0.0% |
| Fuel Cells | 0 | 0 | 0 | 0 | 0.0% |
| Total RPS Eligible Procurement | 6,071 | 20,825 | 45,274 | 72,170 | |

Claimed Portfolio Content Category of RPS Procurement

| Portfolio Content Category | 2011 MWh | 2012 MWh | 2013 MWh | CP TOTAL MWh | % of Total RPS Procurement |
|---|--------------|---------------|---------------|-----------------|----------------------------|
| RECs Procured from PCC 0 Eligible Resources | 0 | 0 | 0 | 0 | 0.0% |
| RECs Procured from PCC 1 Eligible Resources | 71 | 7,347 | 24,564 | 31,982 | 44.3% |
| RECs Procured from PCC 2 Eligible Resources | 0 | 1,490 | 12,901 | 14,391 | 19.9% |
| RECs Procured from PCC 3 Eligible Resources | 6,000 | 11,988 | 7,809 | 25,797 | 35.7% |
| Total | 6,071 | 20,825 | 45,274 | 72,170 | |

Data Reported by:
3 Phases Renewables, LLC
August 1, 2014

2011-2020 Compliance Summary and Charts

| | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
|----------------------------------|--------|---------|-------|-------|-------|-------|-------|---------|---------|---------|
| Retail Sales (MWh) | 57,432 | 148,820 | | | | | | 100,000 | 100,000 | 100,000 |
| Annual % Target | 20.0% | 20.0% | 20.0% | 21.7% | 23.3% | 25.0% | 27.0% | 29.0% | 31.0% | 33.0% |
| Annual RPS Target | 11,486 | 29,764 | | | | | | 29,000 | 31,000 | 33,000 |
| Procurement Quantity Requirement | | | | | | | | | | |

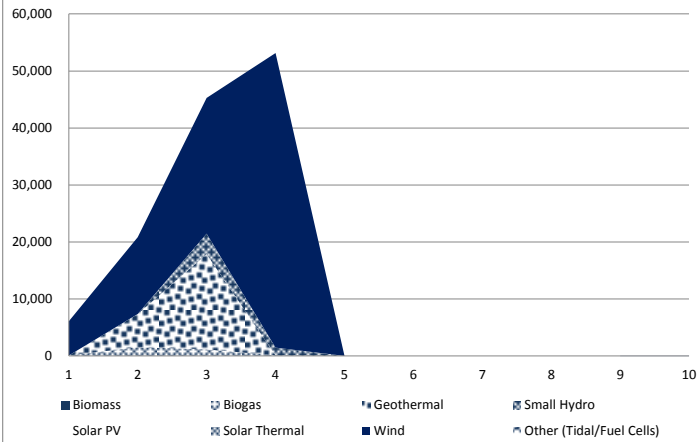
Resource Mix

| | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
|------------------------------|--------------|---------------|---------------|---------------|----------|----------|----------|----------|----------|----------|
| Total RPS Procurement | 6,071 | 20,825 | 45,274 | 53,150 | 0 | 0 | 0 | 0 | 0 | 0 |
| Biomass | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Digester Gas | 0 | 0 | 0 | 100 | 0 | 0 | 0 | 0 | 0 | 0 |
| Biodiesel | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Landfill Gas | 71 | 1,544 | 1,174 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Muni Solid Waste | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Biopower Subtotal | 71 | 1,544 | 1,174 | 100 | 0 | 0 | 0 | 0 | 0 | 0 |
| Geothermal | 0 | 5,803 | 16,687 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Small Hydro | 0 | 0 | 3,592 | 1,350 | 0 | 0 | 0 | 0 | 0 | 0 |
| Conduit Hydro | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Solar PV | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Solar Thermal | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Wind | 6,000 | 13,478 | 23,821 | 51,700 | 0 | 0 | 0 | 0 | 0 | 0 |
| Ocean/Tidal | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Fuel Cells | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

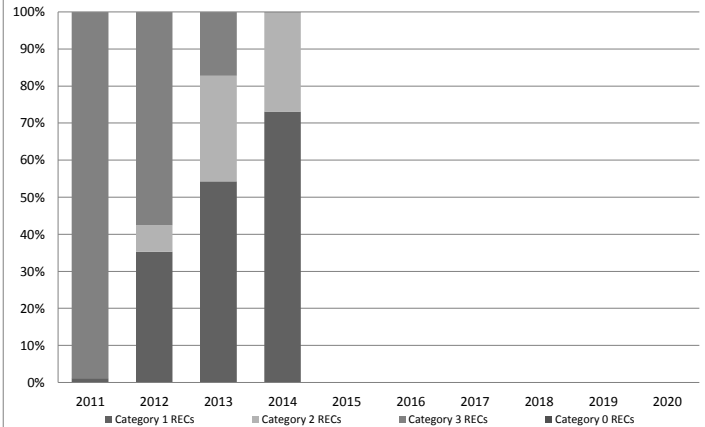
Portfolio Content Category Mix

| | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
|-----------------|-------|--------|--------|--------|------|------|------|------|------|------|
| Category 0 RECs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Category 1 RECs | 71 | 7,347 | 24,564 | 38,850 | 0 | 0 | 0 | 0 | 0 | 0 |
| Category 2 RECs | 0 | 1,490 | 12,901 | 14,200 | 0 | 0 | 0 | 0 | 0 | 0 |
| Category 3 RECs | 6,000 | 11,988 | 7,809 | 100 | 0 | 0 | 0 | 0 | 0 | 0 |

Resource Mix of RPS Procurement



Portfolio Content Category Mix of RPS Procurement



| | A | B | C | D | E | F | G | H | I | J | K | L | |
|----|---|--|---|--------|---------|--------|--------|-------|-------|-------|---------|---------|---------|
| 1 | | | | | | | | | | | | | |
| 2 | | Annual RPS Compliance Report: Compliance Status | | | | | | | | | | | |
| 3 | | Data Reported by: | | | | | | | | | | | |
| 4 | | 3 Phases Renewables, LLC | | | | | | | | | | | |
| 5 | | August 1, 2014 | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | |
| 9 | | Information from the 20% RPS Compliance Program (MWh) | | Actual | | | | | | | | | |
| 10 | | Total Retail Sales in 2010 | | 53,205 | | | | | | | | | |
| 11 | | Net Surplus/(Deficit) from 20% RPS Closing Report | | 29,374 | | | | | | | | | |
| 12 | | | | | | | | | | | | | |
| 13 | | | | | | | | | | | | | |
| 14 | | | | | | | | | | | | | |
| 15 | | | | | | | | | | | | | |
| 16 | | | | | | | | | | | | | |
| 17 | | Annual RPS Procurement and Percentages (MWh) | | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
| 18 | | Annual Retail Sales | | 57,432 | 148,820 | 45,274 | 53,150 | 0 | 0 | 0 | 100,000 | 100,000 | 100,000 |
| 19 | | Annual RPS Procurement | | 6,071 | 20,825 | 12,501 | n/a | n/a | n/a | n/a | n/a | n/a | n/a |
| 20 | | Annual Percentage Targets | | 20.0% | 20.0% | 20.0% | 21.7% | 23.3% | 25.0% | 27.0% | 29.0% | 31.0% | 33.0% |
| 21 | | Annual RPS Procurement Percentage | | 10.6% | 14.0% | | | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 22 | | | | | | | | | | | | | |
| 23 | | | | | | | | | | | | | |
| 24 | | | | | | | | | | | | | |
| 25 | | Procurement Quantity Requirement (MWh) | | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
| 26 | | Procurement Quantity Requirement (PQR) | | | | | | | | | | | |
| 27 | | PCC 0 RECs Retired (Annual) | | 0 | 0 | 0 | n/a | n/a | n/a | n/a | n/a | n/a | n/a |
| 28 | | PCC 1 RECs Retired (Annual) | | 71 | 7,347 | 21,599 | n/a | n/a | n/a | n/a | n/a | n/a | n/a |
| 29 | | PCC 2 RECs Retired (Annual) | | 0 | 1,490 | 12,501 | n/a | n/a | n/a | n/a | n/a | n/a | n/a |
| 30 | | PCC 3 RECs Retired (Annual) | | 6,000 | 8,436 | 0 | n/a | n/a | n/a | n/a | n/a | n/a | n/a |
| 31 | | Annual Percentage Targets | | 20.0% | 20.0% | 20.0% | n/a | n/a | n/a | n/a | n/a | n/a | n/a |
| 32 | | RECs Retired as Percentage of Retail Sales | | 10.6% | 11.6% | | n/a | n/a | n/a | n/a | n/a | n/a | n/a |
| 33 | | Total RECs Retired (Compliance Period) | | | 57,844 | | | n/a | | | n/a | | |
| 34 | | | | | | | | | | | | | |
| 35 | | | | | | | | | | | | | |
| 36 | | | | | | | | | | | | | |
| 37 | | | | | | | | | | | | | |
| 38 | | Portfolio Balance Requirements (MWh) | | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
| 39 | | PCC 1 RECs Retired (Compliance Period) | | | 29,017 | | | n/a | | | n/a | | |
| 40 | | PCC 2 RECs Retired (Compliance Period) | | | 14,391 | | | n/a | | | n/a | | |
| 41 | | PCC 3 RECs Retired (Compliance Period) | | | 14,436 | | | n/a | | | n/a | | |
| 42 | | PCC 1 Balance Requirement | | | 28,921 | | | n/a | | | n/a | | |
| 43 | | Excess/(Shortfall) Retirements from PCC 1 | | | 96 | | | n/a | | | n/a | | |
| 44 | | PCC 3 Balance Limitation | | | 14,461 | | | n/a | | | n/a | | |
| 45 | | Ineligible Category 3 | | | 0 | | | n/a | | | n/a | | |
| 46 | | | | | | | | | | | | | |
| 47 | | | | | | | | | | | | | |
| 48 | | | | | | | | | | | | | |
| 49 | | Excess Procurement Calculation (MWh) | | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
| 50 | | PCC 0 RECs applied to PQR | | | 0 | | | n/a | | | n/a | | |
| 51 | | Long-Term PCC 1 RECs applied to PQR | | | 0 | | | n/a | | | n/a | | |
| 52 | | Short-Term PCC 1 RECs applied to PQR | | | 29,017 | | | n/a | | | n/a | | |
| 53 | | Long-Term PCC 2 RECs applied to PQR | | | 0 | | | n/a | | | n/a | | |
| 54 | | Short-Term PCC 2 RECs applied to PQR | | | 14,391 | | | n/a | | | n/a | | |
| 55 | | Long-Term PCC 3 RECs applied to PQR | | | 0 | | | n/a | | | n/a | | |
| 56 | | Short-Term PCC 3 RECs applied to PQR | | | 14,436 | | | n/a | | | n/a | | |
| 57 | | Excess RECs Eligible for Banking | | | 0 | | | n/a | | | n/a | | |
| 58 | | Excess PCC 0 RECs | | | 0 | | | n/a | | | n/a | | |
| 59 | | Excess PCC 1 RECs | | | 0 | | | n/a | | | n/a | | |
| 60 | | Excess PCC 2 RECs | | | 0 | | | n/a | | | n/a | | |
| 61 | | Remaining Excess RECs Eligible for Banking and Requiring a Category Assignment | | | 0 | | | n/a | | | n/a | | |
| 62 | | | | | | | | | | | | | |
| 63 | | | | | | | | | | | | | |
| 64 | | | | | | | | | | | | | |
| 65 | | Excess Procurement Bank (MWh) | | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
| 66 | | PCC 0 Bank | | | 29,374 | | | n/a | | | n/a | | |
| 67 | | PCC 1 Bank | | | 0 | | | n/a | | | n/a | | |
| 68 | | PCC 2 Bank | | | 0 | | | n/a | | | n/a | | |
| 69 | | Application of PCC 0 Banked RECs | | | 29,274 | | | n/a | | | n/a | | |
| 70 | | Application of PCC 1 Banked RECs | | | 0 | | | n/a | | | n/a | | |
| 71 | | Application of PCC 2 Banked RECs | | | 0 | | | n/a | | | n/a | | |
| 72 | | Cumulative PCC 0 Bank | | | 100 | | | n/a | | | n/a | | |
| 73 | | Cumulative PCC 1 Bank | | | 0 | | | n/a | | | n/a | | |
| 74 | | Cumulative PCC 2 Bank | | | 0 | | | n/a | | | n/a | | |
| 75 | | | | | | | | | | | | | |
| 76 | | | | | | | | | | | | | |
| 77 | | | | | | | | | | | | | |
| 78 | | RPS Compliance Status (MWh) | | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 |
| 79 | | Additional RECs Needed to meet RPS Procurement Quantity Requirement | | | 0 | | | n/a | | | n/a | | |
| 80 | | | | | | | | | | | | | |
| 81 | | | | | | | | | | | | | |
| 82 | | Provisional 20% Closing Report | | 2011 | 2012 | 2013 | | | | | | | |
| 83 | | Deficit from Provisional 20% RPS Closing Report | | | N/A | | | | | | | | |
| 84 | | PCC 0 RECs applied to PQR | | | 0 | | | | | | | | |
| 85 | | Long-Term PCC 1 RECs applied to PQR | | | 0 | | | | | | | | |
| 86 | | Short-Term PCC 1 RECs applied to PQR | | | 29,017 | | | | | | | | |
| 87 | | Long-Term PCC 2 RECs applied to PQR | | | 0 | | | | | | | | |
| 88 | | Short-Term PCC 2 RECs applied to PQR | | | 14,391 | | | | | | | | |
| 89 | | Long-Term PCC 3 RECs applied to PQR | | | 0 | | | | | | | | |
| 90 | | Short-Term PCC 3 RECs applied to PQR | | | 14,436 | | | | | | | | |
| 91 | | Application of PCC 0 RECs to 20% Closing Report Deficit | | | 0 | | | | | | | | |
| 92 | | Application of Long-term PCC 1 RECs to 20% Closing Report Deficit | | | 0 | | | | | | | | |
| 93 | | Application of Short-term PCC 1 RECs to 20% Closing Report Deficit | | | 0 | | | | | | | | |
| 94 | | Application of Long-term PCC 2 RECs to 20% Closing Report Deficit | | | 0 | | | | | | | | |
| 95 | | Application of Short-term PCC 2 RECs to 20% Closing Report Deficit | | | 0 | | | | | | | | |
| 96 | | Application of Long-term PCC 3 RECs to 20% Closing Report Deficit | | | 0 | | | | | | | | |
| 97 | | Application of Short-term PCC 3 RECs to 20% Closing Report Deficit | | | 0 | | | | | | | | |
| 98 | | Cumulative Closing Report Deficit | | | N/A | | | | | | | | |

[illegible][illegible]

Data Reported by:
3 Phases Renewables, LLC
August 1, 2014

[illegible][illegible][illegible]

Annual RPS Compliance Report: (2011) 36 Month Retirement

Data Reported by:
3 Phases Renewables, LLC
August 1, 2014

| |
|--|
| |
| |

Input Required
Information is Hard Coded (No Input Required)

Note : Please create one line per combination of REC vintage and REC retirement date. For example RECs that have the same vintage are retired on two separate dates, please include one line per retirement date. Likewise if RECs of different vintages (e.g. June 2013 and July 2013) are retired on the same date, please include one line per vintage.

| CPUC ID | RPS ID | WREGIS_ID | Facility Name | Vintage Month/Year | Retirement Date (DD/MM/YYYY) | Last Month to Retire REC (Month/Year) | Do RECs "Pass" or "Fail" Requirements of 36 Month Rule: | MWh REC Quantity Retired |
|---------|--------|-----------|---|--------------------|------------------------------|---------------------------------------|---|--------------------------|
| | 60107A | W540 | Monterey Regional Waste Management District | Dec-11 | 11/26/2013 | Nov-2014 | PASS | 71 |
| | 60721A | W360 | White Creek Wind 1 | Jan-11 | 11/26/2013 | Dec-2013 | PASS | 996 |
| | 60721A | W360 | White Creek Wind 1 | Feb-11 | 11/26/2013 | Jan-2014 | PASS | 908 |
| | 60721A | W360 | White Creek Wind 1 | Mar-11 | 11/26/2013 | Feb-2014 | PASS | 902 |
| | 60721A | W360 | White Creek Wind 1 | Apr-11 | 11/26/2013 | Mar-2014 | PASS | 3194 |

Annual RPS Compliance Report: (2012) 36 Month Retirement

Data Reported by:
3 Phases Renewables, LLC
August 1, 2014

| |
|--|
| |
| |

Input Required
Information is Hard Coded (No Input Required)

Note : Please create one line per combination of REC vintage and REC retirement date. For example RECs that have the same vintage are retired on two separate dates, please include one line per retirement date. Likewise if RECs of different vintages (e.g. June 2013 and July 2013) are retired on the same date, please include one line per vintage.

| CPUC ID | RPS ID | WREGIS_ID | Facility Name | Vintage Month/Year | Retirement Date (DD/MM/YYYY) | Last Month to Retire REC (Month/Year) | Do RECs "Pass" or "Fail" Requirements of 36 Month Rule: | MWh REC Quantity Retired |
|---------|--------|-----------|--|--------------------|------------------------------|---------------------------------------|---|--------------------------|
| | 60008A | W125 | Geysers Power Plant - Calpine Geothermal Unit 18 | May-12 | 12/31/2013 | Apr-2015 | PASS | 5803 |
| | 61202A | W1690 | Juniper Canyon | May-12 | 6/27/2014 | Apr-2015 | PASS | 436 |
| | 61202A | W1690 | Juniper Canyon | May-12 | 12/31/2013 | Apr-2015 | PASS | 8000 |
| | 61202A | W1690 | Juniper Canyon | Dec-12 | 12/31/2013 | Nov-2015 | PASS | 1490 |
| | 60107A | W540 | Monterey Regional Waste Management District | Jan-12 | 12/31/2013 | Dec-2014 | PASS | 826 |
| | 60107A | W541 | Monterey Regional Waste Management District | Jan-12 | 12/31/2013 | Dec-2014 | PASS | 718 |

Annual RPS Compliance Report: (2013) 36 Month Retirement

Data Reported by:
3 Phases Renewables, LLC
August 1, 2014

Input Required
Information is Hard Coded (No Input Required)

Note : Please create one line per combination of REC vintage and REC retirement date. For example RECs that have the same vintage are retired on two separate dates, please include one line per retirement date. Likewise if RECs of different vintages (e.g. June 2013 and July 2013) are retired on the same date, please include one line per vintage.

| CPUC ID | RPS ID | WREGIS_ID | Facility Name | Vintage Month/Year | Retirement Date (DD/MM/YYYY) | Last Month to Retire REC (Month/Year) | Do RECs "Pass" or "Fail" Requirements of 36 Month Rule: | MWh REC Quantity Retired |
|---------|--------|-----------|---|--------------------|------------------------------|---------------------------------------|---|--------------------------|
| | 60195A | W568 | Bailey Creek Ranch - Bailey Creek Ranch | May-13 | 6/27/2014 | Apr-2016 | PASS | 231 |
| | 60195A | W568 | Bailey Creek Ranch - Bailey Creek Ranch | Jun-13 | 6/27/2014 | May-2016 | PASS | 202 |
| | 60195A | W568 | Bailey Creek Ranch - Bailey Creek Ranch | Jul-13 | 6/27/2014 | Jun-2016 | PASS | 49 |
| | 60195A | W568 | Bailey Creek Ranch - Bailey Creek Ranch | Aug-13 | 6/27/2014 | Jul-2016 | PASS | 36 |
| | 60195A | W568 | Bailey Creek Ranch - Bailey Creek Ranch | Sep-13 | 6/27/2014 | Aug-2016 | PASS | 25 |
| | 60195A | W568 | Bailey Creek Ranch - Bailey Creek Ranch | Oct-13 | 6/27/2014 | Sep-2016 | PASS | 22 |
| | 60195A | W568 | Bailey Creek Ranch - Bailey Creek Ranch | Nov-13 | 6/27/2014 | Oct-2016 | PASS | 26 |
| | 60195A | W568 | Bailey Creek Ranch - Bailey Creek Ranch | Apr-13 | 6/27/2014 | Mar-2016 | PASS | 208 |
| | 60195A | W568 | Bailey Creek Ranch - Bailey Creek Ranch | Dec-13 | 6/27/2014 | Nov-2016 | PASS | 34 |
| | 60006A | W123 | Geyers Power Plant - Calpine Geothermal Unit 16 | Oct-13 | 6/27/2014 | Sep-2016 | PASS | 5000 |
| | 60008A | W125 | Geyers Power Plant - Calpine Geothermal Unit 18 | Mar-13 | 6/27/2014 | Feb-2016 | PASS | 6687 |
| | 60008A | W125 | Geyers Power Plant - Calpine Geothermal Unit 18 | Dec-13 | 6/27/2014 | Nov-2016 | PASS | 5000 |
| | 61017A | W1383 | Campbell Hill - Campbell Hill | Nov-13 | 6/2/2014 | Oct-2016 | PASS | 1074 |
| | 61188A | W1687 | Dunlap I - Dunlap I | Nov-13 | 6/2/2014 | Oct-2016 | PASS | 1209 |
| | 60805A | W964 | Glenrock I - Glenrock I | Nov-13 | 6/2/2014 | Oct-2016 | PASS | 1069 |
| | 60899A | W1334 | High Plains - High Plains | Nov-13 | 6/2/2014 | Oct-2016 | PASS | 1032 |
| | 61202A | W1690 | Juniper Canyon - Juniper Canyon | Feb-13 | 6/2/2014 | Jan-2016 | PASS | 3592 |
| | 61202A | W1690 | Juniper Canyon - Juniper Canyon | Feb-13 | 6/2/2014 | Jan-2016 | PASS | 3111 |
| | 61202A | W1690 | Juniper Canyon - Juniper Canyon | Feb-13 | 6/2/2014 | Jan-2016 | PASS | 1657 |
| | 61202A | W1690 | Juniper Canyon - Juniper Canyon | Feb-13 | 12/31/2013 | Jan-2016 | PASS | 2500 |
| | 61202A | W1690 | Juniper Canyon - Juniper Canyon | Jan-13 | 6/2/2014 | Dec-2015 | PASS | 768 |
| | 60169A | W631 | Monterey County Water Res. Agency - Monterey County V | Sep-13 | 6/27/2014 | Aug-2016 | PASS | 110 |
| | 60107A | W770 | Monterey Regional Waste Management District - MRWMD | Dec-13 | 6/27/2014 | Nov-2016 | PASS | 379 |
| | 60107A | W771 | Monterey Regional Waste Management District - MRWMD | Dec-13 | 6/27/2014 | Nov-2016 | PASS | 379 |
| | 60107A | W541 | Monterey Regional Waste Management District - MRWMD | Feb-13 | 6/30/2014 | Jan-2016 | PASS | 100 |

3 Phases Renewables, LLC
2013 Preliminary Annual 33% RPS Compliance Report
Narrative Reporting Requirements

1. To date, 3 Phases has not entered into any supply contracts for California RPS-eligible products from facilities that have not yet attained their commercial on-line dates.
2. 3 Phases procured the following RECs produced by RPS-eligible facilities located outside of California in 2013.

| Facility Name | Location | Amount |
|----------------------------|------------------|---------------|
| Juniper Canyon Wind Power | Bickleton, WA | 3,111 |
| Juniper Canyon Wind Power | Bickleton, WA | 8,517 |
| Campbell Hill | Glenrock, WY | 1074 |
| Dunlap I | Medicine Bow, WY | 1209 |
| Glenrock I | Glenrock, WY | 1069 |
| High Plains | Rock River, WY | 1032 |
| Horseshoe Bend Wind Park | Great Falls, MT | 4,809 |
| Seven Mile Hill I | Medicine Bow, WY | 1,674 |
| High Plains | Rock River, WY | 90 |
| Thousand Springs Wind Park | Hagerman, ID | 259 |
| Seven Mile Hill II | Medicine Bow, WY | 422 |
| Top of the World | Glenrock, WY | 555 |
| | TOTAL | 23,821 |

3. 3 Phases procured the following unbundled RECs in 2013:

| Facility Name | Location | Amount |
|----------------------------|------------------|--------------|
| Horseshoe Bend Wind Park | Great Falls, MT | 4,809 |
| Seven Mile Hill I | Medicine Bow, WY | 1,674 |
| High Plains | Rock River, WY | 90 |
| Thousand Springs Wind Park | Hagerman, ID | 259 |
| Seven Mile Hill II | Medicine Bow, WY | 422 |
| Top of the World | Glenrock, WY | 555 |
| | TOTAL | 7,809 |

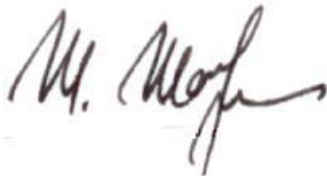
4. 3 Phases has no recommendations at this time with regard to removing impediments to making progress toward achieving California RPS procurement requirements.

OFFICER VERIFICATION FORM

I am an officer of the reporting corporation herein, and am authorized to make this verification on its behalf. The statements in the foregoing document are true of my own knowledge, except as to matters which are therein stated on information or belief, and as to those matters I believe them to be true. The spreadsheet format used to file this compliance report has not been altered from the version issued or approved by Energy Division.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on August 1, 2014 at at Manhattan Beach,CA

A handwritten signature in dark ink, appearing to read "M. Mazur", with a stylized flourish at the end.

Michael Mazur, Principal